



5-26-06

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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/550766
	Filing Date	September 27, 2005
	First Named Inventor	Paul J. Fenelon
	Art Unit	N/A
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	Attorney Docket Number	3288-000011/US/NPD

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Supp Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form SB/08; 16 foreign references; 1 misc. reference; Return Receipt Postcard
<div>Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	HARNESS, DICKEY & PIERCE, P.L.C.		
Signature			
Printed name	Ryan W. Massey		
Date	May 25, 2006	Reg. No.	38,543

Express Mail Label No. EV 853 856 397 US (5/25/2006) Dated: May 25, 2006

EV 853 856 397 US

RWM/dar

Docket No.: 3288-000011/US/NPD
(PATENT)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Paul J. Fenelon

Application No.: 10/550766

Confirmation No.:

Filed: September 27, 2005

Art Unit: N/A

For: Window Lift Mechanism

Examiner: Not Yet Assigned

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

Applicant has not submitted copies of each cited U.S. patent and U.S. patent application as required by 37 CFR 1.98(a)(2)(i), amended October 2004, as the U.S. Patent and Trademark Office has waived this requirement for all U.S. patent applications. Applicant submits herewith copies of foreign and non-patents in accordance with 37 CFR 1.98(a)(2).

A concise explanation of relevance of the items listed on form PTO/SB/08 is given for only non-English language listed items.

JP 5-86761 appears to disclose an actuator for a door lock device which utilizes a rack and pinion.

French 1,425,449 appears to disclose a window opening device having a dual rack and pinion assembly including racks 3 and 4 and pinions 16 disposed on opposite ends of shaft 8.

German 1,931,471 appears to disclose a worm and worm gear actuating device.

French 2,11,220 appears to disclose a window lift mechanism which utilizes a worm for driving a worm gear which is connected to a pinion of a rack and pinion assembly.

German 2,217,784 appears to disclose a window lift mechanism which utilizes a belt drive for driving a pinion of a rack and pinion assembly.

German 2,544,174 appears to disclose an actuator device.

French 2,550,267 appears to disclose a window lift mechanism including a rack and pinion assembly.

French 2,650,647 appears to disclose a worm and worm gear actuator device.

German 2,841,440 appears to disclose a worm and worm gear actuator device.

German 3,545,856 appears to disclose a window lift mechanism having a rack and pinion drive assembly.

German 3,243,123 appears to disclose a window lift mechanism with a cable actuator.

German 4,005,759 appears to disclose a window mechanism having a rack and pinion drive assembly.

German 29,613,322 appears to disclose a belt drive actuator device.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 08-0750, under Order No. 3288-000011/US/NPD.

Dated: May 25, 2006

Respectfully submitted,

By Ryan W. Massey
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PTO/SB/08a/b (07-05)

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<p>Substitute for form 1449A/B/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(Use as many sheets as necessary)</p>			Complete if Known		
			Application Number	10/550766	
			Filing Date	September 27, 2005	
			First Named Inventor	Paul J. Fenelon	
			Art Unit	N/A	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	3	Attorney Docket Number	3288-000011/US/NPD

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US-548695	10/1895	Capron	
	AB*	US-1,356,123	10/1920	Belle	
	AC*	US-1,480,453	01/1924	Lane	
	AD*	US-1,487,926	03/1924	Evans	
	AE*	US-1,683,914	09/1928	Pfiffer	
	AF*	US-1,850,091	03/1932	Bailey	
	AG*	US-1,939,159	12/1933	Atwood	
	AH*	US-2,115,632	04/1938	Hanley	
	AI*	US-2,291,275	07/1942	Ackerman	
	AJ*	US-2,293,968	08/1942	Chandler	
	AK*	US-2,319,088	05/1943	Roethel	
	AL*	US-2,336,530	12/1943	Chandler et al	
	AM*	US-2,345,594	04/1944	Gardner	
	AN*	US-2,531,116	11/1950	Donoghue	
	AO*	US-2,883,780	04/1959	Goodman	
	AP*	US-3,659,466	05/1972	Pickles	
	AQ*	US-3,706,163	12/1972	Pickles	
	AR*	US-3,706,236	12/1972	Pickles	
	AS*	US-3,736,702	06/1973	Pickles	
	AT*	US-4,082,008	04-04-1978	Kluting	
	AU*	US-4,119,341	10-10-1978	Cook	
	AV*	US-4,167,834	09-18-1979	Pickles	
	AW*	US-4,168,595	09-25-1979	Pickles et al.	
	AX*	US-4,170,847	10-16-1979	Pickles	
	AY*	US-4,182,078	01-08-1980	Bartholomew	
	AZ*	US-4,229,906	10-28-1980	Pickles	
	AA1*	US-4,235,117	11-25-1980	Pickles	
	AB1*	US-4,328,451	05-04-1982	Barge	
	AC1*	US-4,389,818	06-28-1983	Sakamoto	
	AD1*	US-4,400,913	08-30-1983	Krantz et al.	
	AE1*	US-4,420,906	12-20-1983	Pickles	
	AF1*	US-4,534,233	08-13-1985	Hamaguchi	
	AG1*	US-4,553,656	11-19-1985	Lense	
	AH1*	US-4,592,245	06-03-1986	Pickles	
	AI1*	US-4,603,894	08-05-1986	Osenkowski	
	AJ1*	US-4,698,938	10-13-1987	Huber	
	AK1*	US-4,770,055	09-13-1988	Chevance et al.	
	AL1*	US-4,785,585	11-22-1988	Grier et al.	
	AM1*	US-4,850,636	07-25-1989	McLaren et al.	
	AN1*	US-4,848,032	07-18-1989	Ballor et al.	
	AO1*	US-4,878,396	11-07-1989	Grunberg	
	AP1*	US-4,910,917	03-27-1990	Brauer	
	AQ1*	US-4,967,510	11-06-1990	Torii et al.	
	AR1*	US-4,970,827	11-20-1990	Djordjevic	
	AS1*	US-5,000,055	03-19-1991	Kim	

Examiner Signature		Date Considered	
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RWW/dcr

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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/550766	
			Filing Date	September 27, 2005	
			First Named Inventor	Paul J. Fenelon	
			Art Unit	N/A	
			Examiner Name	Not Yet Assigned	
Sheet	2	of	3	Attorney Docket Number	3288-000011/US/NPD

	AT1*	US-5,005,316	04-09-1991	Hornivius	
	AU1*	US-5,022,184	06-11-1991	Yamamura et al.	
	AV1*	US-5,174,066	12-29-1992	Dupuy	
	AW1*	US-5,226,259	07-13-1993	Yamagata et al.	
	AX1*	US-5,251,403	10-12-1993	Compeau et al.	
	AY1*	US-5,307,704	05-03-1994	Muller et al.	
	AZ1*	US-5,308,129	05-03-1994	Hlavaty	
	AA2*	US-5,308,138	05-03-1994	Hlavaty	
	AB2*	US-5,309,677	05-10-1994	Kunert et al.	
	AC2*	US-5,351,443	10-04-1994	Kimura et al.	
	AD2*	US-5,355,629	10-18-1994	Kimura et al.	
	AE2*	US-5,367,832	11-29-1994	Compeau et al.	
	AF2*	US-5,410,921	05-02-1995	Deynet et al.	
	AG2*	US-5,425,206	06-20-1995	Compeau et al.	
	AH2*	US-5,577,347	11-26-1996	Heckel et al.	
	AI2*	US-5,806,244	09-15-1998	Tilli	
	AJ2*	US-5,836,205	11-17-1998	Meyer	
	AK2*	US-5,943,913	08-31-1999	Fenelon	
	AL2*	US-6,073,395	06-13-2000	Fenelon	
	AM2*	US-6,145,252	11-14-2000	Fenelon	
	AN2*	US-6,216,394	04-17-2001	Fenelon	
	AO2*	US-6,389,753	05-21-2002	Fenelon	
	AP2*	US-6,430,874	08-13-2002	Korte	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA	WO-96/41087	06/1995			√
	BB	WO-97/37099	10/1997			√
	BC	JP-5-86761	04/1993			
	BD	GB-851436	10/1960			√
	BE	FR-1425449	04/1966			
	BF	DE-1931471	12/1970			
	BG	FR-2111220	06/1972			
	BH	DE-2217784	10/1973			
	BI	DE-2544174-A1	04/1977			
	BJ	FR-2550267-A1	02/1985			
	BK	FR-2650647-A1	02/1991			
	BL	DE-2841440-A1	04/1980			
	BM	DE-3545856-C1	01/1987			
	BN	DE-3243123-A1	05/1984			
	BO	DE-4005759-A1	08/1991			
	BP	DE-29613322-U1	10/1996			

Examiner Signature		Date Considered	
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Sheet	3	of	3	Attorney Docket Number	3288-000011/US/NPD

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	Ford 1973 Car Shop Manual, Vol. 4, Body, Ford Marketing Corporation, Ford Customer Service Division, Service Technical Communications Dept., Dearborn, MI (printed 9/1972)	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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